# Table of Contents

## Part I  Security Environment Surrounding Japan

### Overview
1. General Situation ................................................................. 2
2. Security Environment in the Asia-Pacific Region ......................... 3

### Chapter 1  Issues in the International Community

#### Section 1.  International Terrorism
1. General Situation .................................................................. 6
2. Fight Against Terrorism in and around Afghanistan ..................... 6
3. Terrorist Attacks around the World ......................................... 7

#### Section 2.  Transfer and Proliferation of Weapons of Mass Destruction
1. Nuclear Weapons .................................................................... 9
2. Biological and Chemical Weapons ........................................... 9
3. Ballistic Missiles ................................................................. 9
4. Growing Concerns about Transfer or Proliferation of WMDs .......... 10
5. Iran’s Nuclear Issue ............................................................. 11

#### Section 3.  Situation in Iraq
1. Security Situation in Iraq and Security Measures ......................... 13
2. Trends in Multinational Forces in Iraq ..................................... 13
3. Efforts by the Iraqi Government and International Community toward Reconstruction ......................... 14

#### Section 4.  Complex and Diverse Regional Conflicts

#### COLUMN
(COMMENTARY) The Impact of Climate Change on the Security Environment ........................................ 19

## Chapter 2  National Defense Policies of Countries

#### Section 1.  The United States
1. Security and Defense Policies ................................................. 24
   2. Military Posture ............................................................. 30
   3. Military Posture in the Asia-Pacific Region ......................... 31

#### Section 2.  Korean Peninsula
1. North Korea ........................................................................... 33
   WMD and Ballistic Missiles/Military Posture/Domestic Affairs/External Relations
2. The ROK ............................................................................... 39
   General Situation/Military Affairs
3. U.S. Forces Stationed in the ROK ......................................... 41

#### Section 3.  China
1. General Situation ................................................................... 43
   General Situation/Relations with Taiwan/Relations with the United States/Relations with Russia/Relations with North Korea/Relations with Other Countries/Overseas Activities/International Transfer of Weapons
Section 4.  Russia ................................................................. 59
  1.  General Situation ......................................................... 59
  2.  Security and Defense Policies ........................................ 59
      Basic Posture/Military Reform/The Chechen Issue
  3.  External Relations ......................................................... 61
      Relations with the Commonwealth of Independent States (CIS)/Relations with the United States/Relations with
      NATO/Relations with Asian Countries/Exportation of Arms
  4.  Military Posture ............................................................ 63
      Nuclear Forces/Conventional Forces and Other Issues

COLUMNS
(COMMENTARY) Large-scale Exercises by the Russian Military Units in the
Seas of the Mediterranean and the North Atlantic ....................... 64

5.  Russian Forces in the Far East Region ................................ 65
    General Situation/Russian Forces in Japan’s Northern Territories/Operations in the Vicinity of Japan

Section 5.  Southeast Asia .................................................... 71
  1.  General Situation .......................................................... 71
  2.  Relations with the United States ....................................... 71
  3.  Relations with China ...................................................... 72
  4.  Regional Issues and Cooperation ....................................... 73
  5.  Military Modernization in the Region ............................... 74

Section 6.  South Asia .......................................................... 75
  1.  India .............................................................................. 75
      General Situation/Foreign Policies/National Defense Policy
  2.  Pakistan .......................................................................... 78
      General Situation/Foreign Policies/National Defense Policy
  3.  The India-Pakistan Relationship ....................................... 79

Section 7.  Australia .............................................................. 80
  1.  National Defense Policy .................................................. 80
  2.  Foreign Relations .......................................................... 80
      Relations with the United States/Relations with Other Countries

Section 8.  Europe ................................................................. 82
  1.  General Situation ......................................................... 82
  2.  Enhancement and Enlargement of Security Frameworks .... 82
      Enhancement of Conflict Prevention, Crisis Management and Peacekeeping Functions/Geographical
      Expansion and Partnership of Security Frameworks
  3.  Efforts by Individual Countries to Maintain the Capability to Respond to Various Conditions .......... 85
      The United Kingdom/Germany/Italy
  4.  Efforts toward Stabilization in Europe ............................... 87
      Arms Control and Disarmament/Confidence Building Measures (CBM)

Section 9.  Efforts to Stabilize the International Community by the U.N. and Other Scheme .......... 88
  1.  General Situation .......................................................... 88
  2.  Developments in U.N. Peacekeeping Operations (PKOs) .......... 88
  3.  Organizational Reform of the U.N. .................................. 90
Part II  The Basics of Japan’s Defense Policy and Build-up of Defense Capability

Chapter 1  The Basic Concepts of Japan’s Defense Policy

Section 1. Measures to Ensure Japan’s Security ................................................................. 108

Section 2. The Constitution and the Right of Self-Defense ............................................. 109
1. The Constitution and the Right of Self-Defense ......................................................... 109
   The Permitted Self-Defense Capability/Requirements for Exercising the Right of Self-Defense/Geographic
   Boundaries within which the Right of Self-Defense may be Exercised/The Right of Collective Self-Defense/The
   Right of Belligerence

   COLUMN
   (COMMENTARY) Discussions Involving the Constitution ............................................. 110

Section 3. The Basis of Defense Policy ............................................................................. 111
1. National Defense Policy .............................................................................................. 111
2. Other Basic Policies .................................................................................................... 111
   Exclusively Defense-Oriented Policy/Not Becoming a Military Power/The Three Non-Nuclear Principles/
   Ensuring Civilian Control

   COLUMN
   (COMMENTARY) Civilian Control ................................................................................. 113

Section 4. Transition to a Ministry and Stipulation of International Peace Cooperation Activities as SDF’s
Primary Mission .............................................................................................................. 114
1. Significance of Transition to a Ministry ...................................................................... 114
   Significance of Transition to a Ministry/Adherence to the Basics of Defense Policies
2. Significance of Stipulation of International Peace Cooperation Activities as SDF’s Primary Mission ...
   Concepts Prior to the Stipulation as Primary Mission/Review on Positioning of Missions/Operations
   Newly Stipulated as Primary Missions
3. Debate Concerning the General Law .......................................................................... 116

Chapter 2  The National Defense Program Guidelines and Build-up of Defense Capability

Section 1. Basic Concepts of Formulating the National Defense Program Guidelines .......... 120
1. Background to Formulating the NDPG ........................................................................ 120
   Changes in the International Situation and the Diversifying Roles of Military Capability/Remarkable
   Developments of Scientific Technology/Expansion of SDF Activities and the Improvement of Japan’s
   Emergency Response Mechanism/Characteristics of Japan
2. The Basic Concept of the National Defense Program Guidelines ............................... 122
   Two Objectives and Three Approaches/New Concept for Defense Capability (Shifting emphasis from “deterrent
   effects” to “response capability”) 

Section 2. Contents of the National Defense Program Guidelines .................................... 125
1. Basic Principles of Japan’s Security Policy ................................................................... 125
   Japan’s Own Efforts/The Japan-U.S. Security Arrangements (Cooperation with Allies)/Cooperation
   with the International Community
2. Vision for Future Defense Capabilities ...................................................................... 126
   Role of Defense Capabilities/Fundamental Elements of Japan’s Defense Capabilities
3. Specific Posture for Defense Capability ...................................................................... 130
   and Major Units Also Available for Ballistic Missile Defense (BMD)
Part III Measures for Defense of Japan

Chapter 1 Operations of Self-Defense Forces for Defense of Japan and Responses to Diverse Situations

Section 1. Frameworks for Responses to Armed Attack Situations ......................................................................................................................... 150
1. The Basic Framework for Responses to Armed Attack Situations ......................................................................................................................... 150
   The Background to Laws for Responses to Armed Attack Situations/Responses to Armed Attack Situations/Responses to Emergency Response Situations other than Armed Attack Situations
2. Measures Based on the Armed Attack Situation Response Law and other Relevant Laws ................................................................. 155
   Measures to Protect the Lives etc. of the People and to Minimize the Effects on the Daily Lives of the People/Measures to Terminate Armed Attack Situations/Guarantee of Appropriate Implementation of International Humanitarian Laws/High Readiness for Armed Attack Situations
3. Measures for Civil Protection .................................................................................................................................................................................. 157
   Measures for Civil Protection in Armed Attack Situations (Civil Protection Measures)/The Basic Guidelines for Civil Protection/Roles of the SDF in Civil Protection/Activities by the Ministry of Defense and the SDF to Facilitate the Civil Protection Measures

COLUMN
(COMMENTARY) Joint Training for Civil Protection ................................................................................................................................. 162

4. The Operations of the Self-Defense Forces .................................................................................................................................................. 162
   Outline of Joint Operational Structure/Establishment of Infrastructure to Enhance the Joint Operational Structure/Placing the Defense Intelligence Headquarters under the Direct Command of the Minister of Defense

COLUMN
(VOICE) Voice of an SDF Officer Working as the Defense Attaché in India ........................................................................................................... 165

Section 2. Effective Responses to New Threats and Diverse Contingencies .............................................................................................................................. 167
1. Response to Ballistic Missile Attacks ............................................................................................................................................................. 167
   Japan’s Ballistic Missile Defense/Improvement in Legislation and Operations/Missile Defense of the United States and Japan-U.S. BMD Cooperation
2. Response to Attacks by Guerrillas and Special Operations Forces ...................................................... 175
   Response to Attacks by Guerrillas and Special Operations Forces/Response to Armed Agents/
   Response to Nuclear, Biological and Chemical (NBC) Weapons
3. Response to Aggression on Japan’s Offshore Islands ........................................................................... 181
   Operations to Respond to Aggression on Islands
4. Warning and Surveillance of the Sea and Airspace Surrounding Japan and Response to Violation of
   Airspace and Armed Special Operations Vessels ..................................................................................... 181
   Warning and Surveillance in Sea Areas Surrounding Japan/Warnings and Emergency Takeoffs (Scrambles) in
   Preparation against Violation of Territorial Airspace/Response to Submarines Submerged in Japan’s Territorial
   Waters/Response to Armed Special Operations Vessels
5. Response to Large-Scale and Unconventional Disasters ...................................................................... 184
   Mechanism of Disaster Relief Dispatches/Initial Operations Posture and Implementation Status of Disaster Relief
   Dispatches/Efforts Made in Peacetime in Preparation for Disaster Relief

COLUMN
(VOICE) Voice of SDF Personnel Working at the Joint Staff ................................................................. 189
(VOICE) Voice of SDF Personnel who Participated in Relief Efforts for the Niigata Chuetsu
   Earthquake (GSDF) ......................................................................................................................... 191
(VOICE) Voice of SDF Personnel who Participated in Relief Efforts for the Niigata Chuetsu
   Earthquake (MSDF) ....................................................................................................................... 192
(VOICE) Voice of SDF Personnel who Participated in Relief Efforts for the Niigata Chuetsu
   Earthquake (ASDF) ....................................................................................................................... 193
(VOICE) Letters of Appreciation from Local People for Disaster Relief Efforts ..................................... 194
6. Response to Other Events .................................................................................................................... 195
   Improvement in Guard Postures for SDF Facilities/Maintaining Posture to Transport Japanese Nationals Overseas/
   Response to Situations in Areas Surrounding Japan/Military Intelligence Gathering

Section 3. Preparation against Full-Scale Aggression ............................................................................ 198
1. Air Defense Operations ..................................................................................................................... 198
2. Operations for the Defense of Surrounding Sea Areas ..................................................................... 200

COLUMN
(VOICE) Voice of SDF Personnel on Dispatch at Other Ministries and Agencies ................................. 201
3. Operations for Defending Japan’s Territory (Response to Landing of Invading Forces) ..................... 202
4. Operations for Ensuring the Safety of Maritime Traffic .................................................................... 204

COLUMN
(COMMENTARY) Basic Plan on Ocean Policy ..................................................................................... 204

Chapter 2 Strengthening of the Japan-U.S. Security Arrangements

Section 1. The Japan-U.S. Security Arrangements ............................................................................... 212
1. The Significance of the Japan-U.S. Security Arrangements .................................................................. 213
   Maintenance of Japan’s Security/Maintenance of Peace and Stability in the Region Surrounding
   Japan/Improvement of International Security Environment
2. Presence of U.S. Forces in Japan ........................................................................................................ 214
   Significance of Presence of U.S. Forces in Japan (USFJ)/USFJ Facilities and Areas and Local Communities which
   Host such Facilities and Areas/USFJ in Okinawa

Section 2. Japan-U.S. Security Consultation on the Future of the Japan-U.S. Alliance and
   other Matters ................................................................................................................................... 217
1. Outline of Recent Japan-U.S. Consultations ....................................................................................... 218
   Post-Cold War Developments Concerning the Japan-U.S. Security Arrangements/Activities since the September 11th
   Terrorist Attacks/Recent Developments
2. Results Achieved by the Japan-U.S. Consultations ................................................................. 221
   Common Strategic Objectives (the First Stage)/Roles, Missions and Capabilities of Japan and
   the United States (the Second Stage)/Force Posture Realignment, Including USFJ (the Third Stage)
3. Initiatives for Smooth Implementation of the Realignment of USFJ ........................................ 237
   Overview of the USFJ Realignment Special Measures Law/Measures based on the USFJ Realignment Special
   Measures Law
4. Various Measures Concerning USFJ Facilities and Areas ...................................................... 241
   USFJ Facilities and Areas Located in Japan, Excluding Okinawa/USFJ Facilities and Areas in Okinawa

Section 3. Policies and Measures for Enhancing Credibility of the Japan-U.S. Security Arrangements ... 247
1. Japan-U.S. Policy Consultations .................................................................................................. 247
   Major Forums for Japan-U.S. Consultations on Security/Recent Policy Consultations between Japan and
   the United States
2. Guidelines for Japan-U.S. Defense Cooperation and Policies to Ensure their Effectiveness ............ 252
   The Guidelines for Japan-U.S. Defense Cooperation/Various Policies for Ensuring the Effectiveness of
   the Guidelines
3. Japan-U.S. Bilateral Training and Exercises .............................................................................. 257
4. The Acquisition and Cross-Servicing Agreement between Japan and the United States (ACSA) .... 258
5. Mutual Exchanges of Equipment and Technology ...................................................................... 260
6. Measures to Ensure the Smooth Stationing of USFJ ................................................................ 260
   Cost Sharing for the Stationing of USFJ/Ensuring the Stable Use of USFJ Facilities and Areas/
   Efforts to Preserve the Environment Surrounding USFJ Facilities and Areas

COLUMN
(COMMENTARY) USFJ Local Employees ...................................................................................... 262
(VOICE) Voice of SDF Personnel who Completed a Flight Training Course with the U.S. Air Force .... 263

Chapter 3 Improvement of the International Security Environment

Section 1. Efforts to Support International Peace Cooperation Activities ........................................ 268
1. Proactive Efforts to Support International Peace Cooperation Activities on Japan’s Own Initiative ... 269
   History of Japan’s Efforts to Support International Peace Cooperation Activities/
   Systems to Effectively Undertake International Peace Cooperation Activities/
   Welfare and Mental Health Care of Dispatched SDF Personnel
2. Cooperation in Global Efforts to Reconstruct Iraq ..................................................................... 271
   Details and Significance of Japan’s Efforts to Support Iraqi Reconstruction/Outline of the Law Concerning
   Special Measures on Humanitarian and Reconstruction Assistance in Iraq and the Basic Plan/SDF
   Operations/Evaluation of Japan’s Efforts by Other Countries

COLUMN
(VOICE) Voice of SDF Personnel who Participated in Replenishment Activities in the Indian Ocean .... 271
(VOICE) Voice of SDF Personnel Working in the Humanitarian and Reconstruction Assistance
   in Iraq, Airlift Wing ..................................................................................................................... 275
3. Activities Responding to International Terrorism ........................................................................ 276
   Efforts of International Community/Japan’s Efforts in the Fight Against Terrorism/Outline of the Replenishment
   Support Special Measures Law and Implementation Plan/Replenishment Support Activities by the Maritime Self-
   Defense Force/Evaluation of Japan’s Activities
4. Efforts to Support U.N. Peacekeeping Operations (PKOs), etc. .................................................. 282
   Outline of International Peace Cooperation Law, etc./United Nations Mission in Nepal (UNMIN)/International
   Peace Cooperation Activities in the Golan Heights/The United Nations Mission in
   Sudan (UNMIS)/Dispatch of SDF Officers to the U.N. Department of Peacekeeping Operations

COLUMN
Section 2. Promotion of Security Dialogue and Defense Exchanges .............................................................. 292
1. Significance of Security Dialogue and Defense Exchanges ................................................................. 292
2. Bilateral Defense Exchanges ............................................................................................................... 292
   Exchanges with Southeast Asian Countries/Defense Exchanges with Other Countries

COLUMN
(VOICE) Voice of Staff Member in Charge of Japan-China Defense Exchanges ............................................. 297
(VOICE) Voice of a Participant in Defense Exchanges with the Royal Australian Air Force,
Transportation Unit .................................................................................................................................. 300

   Sponsored or Participated in by the Japan Defense Ministry and the SDF
4. Multilateral Exercises ......................................................................................................................... 308
   Significance of Multilateral Exercises in the Asia-Pacific Region/Efforts on Multilateral Exercises in the Asia-Pacific
   Region

COLUMN
(VOICE) Voice of MSDF Personnel Who Participated in the Maritime Multilateral Exercise
Malabar ....................................................................................................................................................... 311

Section 3. Efforts for Arms Control, Disarmament and Non-Proliferation ................................................. 312
1. Efforts on Treaties related to Arms Control, Disarmament and Non-Proliferation of Weapons of
   Mass Destruction ................................................................................................................................ 313
   Nuclear Weapons/Chemical Weapons/Biological Weapons/Delivery Means (Missiles)
2. Efforts on Arms Control-Related Treaties on Certain Conventional Weapons ..................................... 314
   Related Treaties/Japan’s Efforts
3. International Efforts for Non-Proliferation of Weapons of Mass Destruction and Others .................... 315
   Proliferation Security Initiative (PSI)/Security Council Resolution 1540 Concerning the Non-Proliferation of
   Weapons of Mass Destruction

Chapter 4 Citizens of Japan, the Ministry of Defense and the SDF

Section 1. Sustaining Defense Capabilities ................................................................................. 324
1. Organization of the MOD/SDF ............................................................................................................. 324
   Organization of the MOD/SDF/System to Support the Minister of Defense/Restructuring of
   the Ministry of Defense
2. Recruitment and Employment of Personnel in the Ministry of Defense and the SDF ......................... 327
   Recruitment/Employment

COLUMN
(VOICE) Voice of a Staff Involved in the Disbandment of the Defense Facilities Administration Agency
and Compilation of its History ..................................................................................................................... 329
(VOICE) Voice of a Newcomer (a General Officer Candidate, GSDF) ....................................................... 330
(VOICE) Voice of a Newcomer (Student Pilot, MSDF) ............................................................................. 331
(VOICE) Voice of a Newly Enlisted SDF Personnel (ASDF General Candidate for Enlisted (Upper)) ....... 332
(VOICE) Voice of a Crew Member of the Icebreaker Shirase ..................................................................... 334
3. Daily Education and Training
   Education of SDF Regular Personnel/SDF Training ............................... 335

4. Working Conditions of Personnel, Measures on Personnel Matters, and Other Related Issues
   The Panel to Examine Comprehensive Reform in the Personnel Field of the Defense Force/Efforts to
   Prevent Suicide among SDF Personnel/Commemorating Personnel Killed in the Line of Duty 338

5. Retirement and Outplacement of Personnel, and Related Issues
   Retirement and Outplacement of Personnel/Regulations on Outplacement of Personnel after Retirement/
   Reappointment System ................................. 340

6. Enhancing Information and Communications Capabilities
   Response to the Information Technology (IT) Revolution/Future Policy for Information and Communications
   (Action Plan) ................................. 342

7. Enhancement of Technical Research & Development
   Research & Development of the Technical Research & Development Institute (TRDI)/Strengthening Relations
   with the Commercial-off-the-Shelf (COTS) Technology Field ............................... 343

COLUMN
   (VOICE) Voice of an Engineering Official Involved in Developing a New Tank ............................... 345

Section 2. Interaction between the Ministry of Defense & the SDF, and the Local Community & Japanese
   Citizens ........................................................................................................ 346

1. Cooperation from Local Public Organizations and Other Related Organizations for the SDF
   Cooperation in Recruitment of SDF Regular Personnel and Support for Outplacement/Support
   for SDF Activities ....................................................................................... 346

2. Activities in Civic Life and Contributions to Society ......................................................................... 346

3. Harmony between Defense Facilities and the Local Community, and Environmental Conservation
   Environmental Conservation/Base of Defense Administration in Regional Areas ............................... 347

4. Public Relations Activities, Information Disclosure, and Related Activities
   Various Public Relations Activities/Appropriate Operation of the Information Disclosure System
   and Personal Data Protection System/Appropriate Operation of the Whistle-Blower Protection
   System/Engagement in Policy Evaluation ..................................................................................... 351

Part IV Reform of the Ministry of Defense

Section 1. Council for Reforming the Ministry of Defense ........................................................................ 360

1. Considerations within the Ministry of Defense ..................................................................................... 361

COLUMN
   (COMMENTARY) Keywords for the Reform of the Ministry of Defense .............................................. 362

   Introduction/Incidents of Misconduct - Identifying the Issues/Reform Proposal (1): Reform of the
   Consciousness of Personnel and Organizational Culture/Reform Proposal (2): Organizational Reforms for
   Modern Civilian Control/Conclusion ................................................................................................. 364

3. Future Efforts ................................................................................................................................. 367

COLUMN
   (COMMENTARY) Statement by the Prime Minister at the 11th Meeting of the Council for
   Reforming the Ministry of Defense .................................................................................................... 368

Section 2. Fundamental Efforts to Ensure Thorough Civilian Control ........................................................................ 369

1. Recent Incidents
   Incident of Mistakes in Reporting the Amount of Refueling/Mistaken Destruction of Ship Deck Log/
   Collision between the Destroyer *Atago* and the Fishing Vessel *Seitoku Maru* .................................. 369

2. Efforts at the Ministry of Defense ..................................................................................................... 370
Section 3. Efforts to Prevent the Leakage of Information ................................................................. 371
1. Recent Incidents ...................................................................................................................... 371
   Incident of Information Leakage via the Internet/Incident of Leakage of Special Defense Secret
   Concerning the Aegis System
2. Efforts at the Ministry of Defense ......................................................................................... 372

COLUMN
(COMMENTARY) New Establishment of the “Self-Defense Forces Intelligence Security Unit”
(Provisional Name) .................................................................................................................. 373

Section 4. Efforts for Effective, Efficient, Open, and Transparent Procurement ...................... 376
1. The Ministry of Defense’s Efforts to Date ............................................................................. 376
   Comprehensive Acquisition Reform/Enhancement and Strengthening of the Defense Production &
   Technological Infrastructure
2. Recent Incidents .................................................................................................................. 377
   Excessive Billing by Yamada Corporation/Selection and Procurement of C-X Engines and Other Equipment
3. New Initiatives at the Ministry of Defense .......................................................................... 378
   Recent Actions/Efforts for Comprehensive Acquisition Reform/Steady Implementation of Measures

COLUMN
(Q&A) What is the procedure for equipment selection? ............................................................ 381
(Q&A) What are the concrete measures for cost reduction? .................................................. 382

Section 5. Other Measures ....................................................................................................... 383
1. Efforts in Response to the Fire on the Destroyer Shirane ......................................................... 383
2. Efforts to Uphold the Self-Defense Forces Personnel Ethics Code and Act .......................... 383
3. Measures against Drug Abuse ............................................................................................... 384

Reference .................................................................................................................................. 387